

2022 Signet SRSP Report Questions (Diamond Only Suppliers)

Please note: the 2022 SRSP Report is completed online from the Signet Responsible Sourcing website, which requires a username and password to access.

This is a copy of the SRSP report to help you gather information and prepare your responses prior to completing the online report.

2022 SRSP Report Questions

Q1.A Has your company completed the 2022 Russia Policy Compliance (RPC) Report?

Q 1.00 Does your company currently or plan to supply **ONLY** loose natural or lab grown diamonds (polished or rough) to Signet (including brokers, traders, or auctioneers)?

If “Yes”;
Skip to Q 1.05;

Changes in your Supply Chain

Q 1.05 If you reported compliance with the Gold, 3Ts, silver / PGMs, diamond, lab grown diamond, and/or colored gemstone SRSP appendices last year, has anything changed in your supply chain since your last SRSP report?

If you are a potential new supplier to Signet and have not previously reported whether you are compliant with the Signet’s Responsible Sourcing Protocol (SRSP), the appropriate response is “**Not Applicable - This is our first reporting year.**”

No;
Not Applicable – this is our first reporting year;
Yes

If yes,

Q 1.06 Please explain any changes in your supply chain below:

Q 1.07 Have the changes in your supply chain identified any risks to your company’s compliance with the SRSP?

If Yes;

Q 1.08 Please explain in detail below:

Q 1.09 If you have changes to your supply chain or new suppliers this year, have you verified they are compliant with the requirements of the SRSP?

If No;



Q 1.10 Please explain why and when you expect your suppliers to be compliant with the SRSP in detail below:

Responsible Jewellery Council Membership Requirement

Q 1.11 Signet requires that all suppliers providing natural Diamonds, Colored Gemstones (loose or set in jewelry), Gold, Platinum, and Silver, be a member of the RJC. Is your company a member of the Responsible Jewellery Council (RJC)?

If No,

Q 1.12 Please enter below the reason why you are currently not a member of the RJC and what plans you have, if any, in becoming a member.

Q1.13 Does your RJC membership cover all entities and product supplied to Signet Jewelers?

Q 1.14 Are you a Certified Member of the RJC?

If Yes,

Q 1.15 Please provide your Certification number: _____

Q 1.16 Does your RJC Certification against CoP 2019 include all metals or minerals you supply to Signet as reported in your current SRSP Report?

Q 1.17 Do you have management systems, supplier terms of business and a compliance officer in place?

Q 1.18 Have you undertaken due diligence in your supply chain of all materials/minerals supplied to Signet?

Q 1.19 Have you identified any risks in the Signet supply chain which need reporting to Signet?

Q 1.20 If Yes, please specify:

Q 1.21 Do you have a Know Your Customer/Counterparty (KYC) policy in place? (Please see Section 5.d., page 10 of the SRSP for details).

Q 1.22 Are your products “conflict-free” as defined in the Signet Responsible Sourcing Protocol (SRSP)?

Q 1.23 Does your supply chain follow guidance in Human Rights as defined in the SRSP?

Q 1.24 Does your supply chain follow AML/bribery/facilitation policies as defined in the SRSP?



Natural Diamonds

Q 7.0 Does your company currently supply or plan to supply products of any kind containing **natural diamonds (loose, rough or polished, or set in any type of jewelry or watch product)** to any Signet Jewelers business units?

Q 7.01 Please select the type of **natural diamonds** you supply Signet (**select all that apply**):

- Natural Loose Polished diamonds
- Natural Loose Rough diamonds
- Natural Diamond jewelry (made with Gold)
- Natural Diamond jewelry (made with any of the 3Ts)
- Natural Diamond jewelry (made with Silver and/or PGMs)
- Natural Diamond jewelry (Not made with Gold, 3Ts, Silver and/or PGMs)

Q 7.02 Is your company compliant with the SRSP Appendix for Natural Diamonds?

Q 7.03 If you select not compliant for a mineral, you should explain why and when you expect to be compliant and what action is required to do so.

Q 7.04 Natural Diamond Categories - Please select which category or categories of natural diamonds your company supplies to Signet. (**Please select all that apply**)

- Category 1 (Single Stone Tracking)?** Individual natural diamonds supplied by or sourced from identified diamond producers which are independently certified under separate, relevant international standards (such as the RJC Code of Practices). All natural diamonds sourced in this category should be identifiable from the producer/mining company.
- Category 2 (Parcel Tracking)?** Parcels of natural diamonds supplied by or sourced from identified diamond producers which are independently certified under separate, relevant international standards (such as the RJC Code of Practices). All parcels of natural diamonds sourced in this category should be identifiable as coming from the producers/mining companies.
- Category 3 (Mixed Sources)?** Natural Diamonds are derived from a number of sources, with some percentage of the natural diamonds deriving from a known, identified and verified source.
- Category 4 (Other Identified & Verified Sources)?** Natural Diamonds deriving from small-scale or artisanal producers. All natural diamonds sourced in this category should be identifiable from the artisanal producers.

If Category 3 selected:

Q 7.05 For your Category 3 natural diamonds, what percentage are derived from identified sources?

Q 7.06 For the **natural** diamonds your company supplies to Signet, what supporting documentation do you have to verify your claim (**select all that apply**):

If an audit of your SRSP Compliance Report is required, you will need to supply documentation supporting your claims to the independent 3rd party auditor.

- Inventory records, sale or purchase documents for rough and or polished natural diamonds indicating the mine or mines from which the natural diamonds derived (Producers)
- Inventory records, sale or purchase documents from verified natural diamond producer



- Terms of business with verified natural diamond producer
- KPCS (Kimberley Process Certification Scheme) Certificates
- KYC (Know Your Customer/Counterparty) policy and records
- De Beers BPP certification
- For retail returns, recycled natural diamonds and other used sources, records must be maintained which state the source
- Other (please specify and give an example)

Q 7.07 Are you following the requirements of the SRSP, Natural Diamond appendix to establish the processes and procedures to detect lab-grown diamonds?

If No:

Q 7.08 Please describe the steps you will take to comply with the Signet SRSP Natural Diamond appendix to identify lab-grown diamonds in your supply chain.

Q7.09 Do your processes use technology (testing machines) to identify lab-grown diamonds?

Q7.09.a Please select the testing machines you are currently using:

- DTC AMS2
- DTC Diamond Sure
- DTC Diamond View
- DTC SynthDetect
- GII Q Check ++
- DRC Techno D Secure+
- HRD M Screen
- OGI Systems Dia-True
- Guangzhou Biaoqi GLIS 3000
- GIA ID100
- GIA Diamond Check
- Yehuda Sherlock Holmes
- Presidium Diamond Tester
- Nanjing Gem Instrument/NGTC GV5000
- Gemologis Leo
- Other (Please specify):

Q 7.10 Do your processes use laboratory testing services to identify lab grown diamonds?

Q 7.10.a. Please select the testing laboratories you are currently using:

1. GIA
2. GII
3. IGI
4. AGS
5. IIDGR
6. HRD
7. Other (Please specify):

Q7.11 Are you compliant with the Intellectual Property requirements in the Signet Responsible Sourcing Protocol (SRSP), Natural Diamond Appendix?



Q 7.12 Do you have machines, equipment, tools, software or technology at your facilities that are used to internally scan and map inclusions in rough natural diamonds before cutting?

Q 7.13 If Yes, please state the manufacturer name and product names.

Q 7.14 Do you outsource the internal scanning and inclusion mapping of rough natural diamonds to an external provider?

If Yes;

Q 7.15 Please name the external provider(s):

Lab Grown Diamonds

Q 8.0 Does your company currently supply or plan to supply products of any kind containing lab grown diamonds (loose, rough or polished, or set in any type of jewelry or watch product) to any Signet Jewelers' business unit?

Q 8.01 Please select the type of **lab grown** diamonds you supply Signet (**select all that apply**):

- Loose Polished lab grown diamonds
- Loose Rough lab grown diamonds
- Lab Grown Diamond jewelry (made with Gold)
- Lab Grown Diamond jewelry (made with any of the 3Ts)
- Lab Grown Diamond jewelry (made with Silver and/or PGMs)
- Lab Grown Diamond jewelry (Not made with Gold, 3Ts, Silver and/or PGMs)

Q 8.02 Is your company compliant with the SRSP Appendix for Lab Grown Diamonds, its requirements for factory health and safety, working conditions, testing and disclosure requirements?

Q 8.03 If you select not compliant for a mineral, you should explain why and when you expect to be compliant and what action is required to do so. Please explain below why you are not compliant:

Q 8.04 Are you following the testing requirements of the SRSP for Lab Grown Diamond appendix, as specified under section 4.0, to ensure all stones are in fact LGDs and that parcels do not contain natural diamond, diamond simulants and/or any material which is not laboratory-grown diamond?

If No:

Q 8.05 Please explain below what steps you will take to comply with the testing requirements of the SRSP for Lab Grown Diamonds:

Q 8.06 Please list the country or countries where the lab grown diamonds you use are manufactured or grown.



Grievances, Concerns, and Identification of Risks

This section allows suppliers to make any comments on the report, provide any additional information you have to support any compliance claims, contact Signet regarding comments or grievances, and address any concerns or identified risks you have relating to your own supply chain or those of others

Q 11.0 If you would like to make any comments or grievances to Signet, please write them below:

Q 11.1 If you think Signet needs to be aware of any additional risks or concerns which you can identify in your or in others' supply chains, please include them below:

WARRANTY STATEMENT

Q 12.0 The following warranty statements are required on ALL invoices, even on invoices that do not contain Gold, any of the 3Ts, Natural or Lab Grown Diamonds, Silver / PGMs, Colored Gemstones or Other Metals. **By including the current SRSP warranty statement, you are certifying that no diamonds or precious metals of Russian origin purchased after February 24, 2022 are present in any Signet products:**

The seller warrants that these products have been supplied in compliance with the Signet Responsible Sourcing Protocol ("SRSP").

Any natural diamonds herein supplied have been purchased from legitimate sources not involved in funding conflict and in compliance with United Nations Resolutions and corresponding national laws. The seller hereby guarantees that these natural diamonds are conflict-free and confirms adherence to the WDC SOW Guidelines.

(For LGD only) *Any laboratory-grown diamonds herein supplied comply with the SRSP and are warranted not to include any natural diamonds or any material which is not laboratory-grown diamond.*

Do you plan to immediately include the SRSP warranty statements on all your documentation?

If No,

Q 12.1 Please indicate the date you plan to begin using the SRSP warranty statement(s):

ATTESTATION

Q 13.0 Do you attest that the information and answers provided in this report are true to the best of your understanding?

Please reference Signet's privacy policy

Q 13.1 Do you have any further comments, additional information pertaining to these questions, or want to request further information?

Q 13.2 If yes, please specify.

