

2021 Signet R2Net DRSP Report Questions

Please note: All suppliers to Signet R2Net are required to complete the annual DRSP report. The 2021 DRSP Report is completed online from the Signet Responsible Sourcing website, which requires a username and password to access.

This is a copy of the full DRSP report to help you gather information and prepare your responses prior to completing the online report.

2021 DRSP Report Questions

Q 1.0 Does your company currently or plan to supply loose natural and/or lab grown diamonds, or products of any kind (not including watches) containing natural and/or lab grown diamonds to Signet R2Net?

If “No,” please explain what products or services you supply to Signet R2Net.

Changes in your Supply Chain

Q 1.01. If you reported compliance with the Signet R2Net DRSP last year, has anything changed in your supply chain since your last DRSP report?

If you are a potential new supplier to Signet R2Net and have not previously reported whether you are compliant with the R2Net Diamond Responsible Sourcing Protocol (DRSP), the appropriate response is “Not Applicable - This is our first reporting year.”

No;
Not Applicable – this is our first reporting year;
Yes

If No or Not Applicable, skip to Q 2.0

If yes,

Q 1.02 Please explain any changes in your supply chain below:

Q 1.03. Have the changes in your supply chain identified any risks to your company’s compliance with the DRSP?

If Yes,

Q1.04 Please explain in detail below:



Q 1.05 If you have changes to your supply chain or new suppliers this year, have you verified they are compliant with the requirements of the DRSP?

If No,

Q1.06. Please explain why and when you expect your suppliers to be compliant with the DRSP in detail below:

Responsible Jewellery Council Membership Requirement

Q 2.0 Is your company a member of the Responsible Jewellery Council (RJC)?

If No,

Q2.01 Please enter below the reason why you are currently not a member of the RJC and what plans you have, if any, in becoming a member.

Q 2.02. Did you include all business entities under your control which provide product to Signet R2Net as part of your RJC membership?

Q 2.03 Are you a Certified Member of the RJC?

If No, skip to Q 3.0

If Yes,

Q 2.04 Please provide your Certification number: _____

Q 2.05 Does your RJC Certification against CoP 2019 include all minerals you supply to Signet as reported in your current DRSP Report?

Q 3.0 Do you have a supply chain due diligence management systems, supplier terms of business and a compliance officer in place?

Q 3.01 Have you undertaken due diligence in your supply chain as specified in the DRSP?

Q 3.02 Have you identified any risks in the supply chain which need reporting to Signet R2Net?

Q 3.03 If Yes, please specify:

Q 3.04 Do you have a Know Your Customer/Counterparty (KYC) policy in place?

Q 3.05 Are your products “conflict-free” as defined in the DRSP (i.e., not directly or indirectly contributing to conflict, not from a conflict area nor relate to non-state armed groups)?



Q 3.06 Does your supply chain follow guidance in Human Rights as defined in the DRSP (i.e. respect Human Rights and asking your suppliers also to respect Human Rights)?

Q 3.07 Does your supply chain follow AML/bribery/facilitation policies as defined in the DRSP?

Natural Diamonds

Q 4.0 Does your company currently supply or plan to supply products of any kind containing natural diamonds (loose, rough or polished) not including watches, to Signet R2Net?

Please note: if you are a broker, trader, or auctioneer in natural diamonds, you should reply "YES."

If No to Q4.0, skip to 5.0 – (Do you supply lab grown diamonds?)

Q 4.01 Is your company compliant with the DRSP for Natural Diamonds?

If No,

Q 4.02. Please explain below why you are not compliant:

If Yes to 4.01 – Do you supply Natural Diamonds to R2Net:

Q 4.03 Natural Diamond Categories – You indicated you are "compliant" with the Signet R2Net Diamonds Responsible Sourcing Protocol for Natural Diamonds. Please select which category or categories of natural diamonds your company supplies to Signet R2Net. (Please select all that apply)

- Category 1 (Single Stone Tracking)? Individual natural diamonds supplied by or sourced from identified diamond producers which are independently certified under separate, relevant international standards (such as the RJC Code of Practices). All natural diamonds sourced in this category should be identifiable from the producer/mining company.
- Category 2 (Parcel Tracking)? Parcels of natural diamonds supplied by or sourced from identified diamond producers which are independently certified under separate, relevant international standards (such as the RJC Code of Practices). All parcels of natural diamonds sourced in this category should be identifiable as coming from the producers/mining companies.
- Category 3 (Mixed Sources)? Natural Diamonds are derived from a number of sources, with some percentage of the natural diamonds deriving from a known, identified and verified source.
- Category 4 (Other Identified & Verified Sources)? Natural Diamonds deriving from small-scale or artisanal producers. All natural diamonds sourced in this category should be identifiable from the artisanal producers.

If Category 3 is selected:

Q 4.04 For your Category 3 natural diamonds, what percentage are derived from identified sources?

Q 4.05 For the natural diamonds your company supplies to Signet R2Net, what supporting documentation do you have to verify your claim (select all that apply):



If an audit of your DRSP Compliance Report is required, you will need to supply documentation supporting your claims to the independent 3rd party auditor.

- Inventory records, sale or purchase documents for rough and or polished natural diamonds indicating the mine or mines from which the natural diamonds derived (Producers)
- Inventory records, sale or purchase documents from verified natural diamond producer
- Terms of business with verified natural diamond producer
- KPCS (Kimberley Process Certification Scheme) Certificates
- KYC (Know Your Customer/Counterparty) policy and records
- De Beers BPP certification
- For retail returns, recycled natural diamonds and other used sources, records must be maintained which state the source
- Other (please specify and give an example)

Q 4.06 Are you following the requirements of the DRSP, Natural Diamond appendix to establish the processes and procedures to detect lab-grown diamonds (LGDs)?

If No,

Q 4.07 Please describe the steps you will take to comply with the Signet R2Net DRSP Natural Diamond appendix to identify lab-grown diamonds in your supply chain.

Q 4.08 Do your processes use technology (testing machines) to identify lab-grown diamonds?

If No; skip to Q 4.10

If Yes,

Q 4.09 Please select the testing machines you are currently using:

- DTC AMS2
- DTC Diamond Sure
- DTC Diamond View
- DTC SynthDetect
- GII Q Check ++
- DRC Techno D Secure+
- HRD M Screen
- OGI Systems Dia-True
- Guangzhou Biaoqi GLIS 3000
- GIA ID100
- GIA Diamond Check
- Yehuda Sherlock Holmes
- Presidium Diamond Tester
- Nanjing Gem Instrument/NGTC GV5000
- Gemologis Leo
- Other (Please specify):



Q 4.10 Do your processes use laboratory testing services to identify lab grown diamonds?

If No, skip to Q 4.12

If Yes,

Q 4.11 Please select the testing laboratories you are currently using:

- GIA
- GII
- IGI
- AGS
- IIDGR
- HRD
- Other (Please specify):

Q 4.12 Are you compliant with the Intellectual Property requirements in the Signet R2Net Diamond Responsible Sourcing Protocol (DRSP), Natural Diamond Appendix?

Q 4.13 Do you have machines, equipment, tools, software or technology at your facilities that are used to internally scan and map inclusions in rough natural diamonds before cutting?

If No, skip to Q 4.15

If Yes:

Q 4.14 If Yes, please state the manufacturer name and product names.

Q 4.15 Do you outsource the internal scanning and inclusion mapping of rough natural diamonds to an external provider?

If No, skip to Q 5.0

If Yes;

Q 4.16 Please name the external provider(s):

Lab Grown Diamonds

Q 5.0 Does your company currently supply or plan to supply products of any kind containing lab grown diamonds (loose, rough or polished) not including watches to Signet R2Net?

Please note: if you are a broker, trader, or auctioneer in lab grown diamonds, you should reply "YES."

If "No," skip to Q 6.0



Q 5.01 Is your company compliant with the DSRP for Lab Grown Diamonds, its requirements for factory health and safety, working conditions, testing and disclosure requirements?

If No,

Q 5.02 Please explain below why you are not compliant:

Q 5.03 Are you following the testing requirements of the DRSP for Lab Grown Diamonds, to ensure all stones are in fact LGDs and that parcels do not contain natural diamond, diamond simulants and/or any material which is not laboratory-grown diamond?

Yes / No

If yes, skip to Q 6.0

If No,

Q 5.04 Please explain below what steps you will take to comply with the testing requirements of the DRSP for Lab Grown Diamonds:

Q 6.0 If you would like to make any comments or grievances to Signet R2Net, include any comments here:

Q 6.01 If you think Signet R2Net needs to be aware of any additional risks or concerns which you can identify in your or in others' supply chains, include any comment here:

Q 7.0 The following warranty statements are required on ALL invoices:

The seller warrants that these products have been supplied in compliance with the R2Net Diamonds Responsible Sourcing Protocol ("DRSP").

Any natural diamonds herein supplied have been purchased from legitimate sources not involved in funding conflict and in compliance with United Nations Resolutions and corresponding national laws. The seller hereby guarantees that these natural diamonds are conflict-free and confirms adherence to the WDC SOW Guidelines.

For Lab Grown Suppliers only:

Any laboratory-grown diamonds herein supplied comply with the DRSP and are warranted not to include any natural diamonds or any material which is not laboratory-grown diamond.

Q 7.00 Are you including the warranty statements on all your documentation?

If No,

Q 7.01 Please indicate the date you plan to begin using the DRSP warranty statement(s):

ATTESTATION



Q 8.0 Do you attest that the information and answers provided in this report are true to the best of your understanding?

Q 8.01 Do you have any further comments, additional information pertaining to these questions, or want to request further information?

Q 8.02 If yes, specify.

